



Introduction to the *Crystal®* Closed Vial Technology



A Safe & Easy Solution for BioPharmaceutical Aseptic Filling Operations





 Developed a revolutionary vial for aseptic filling based on the closed vial technology

Aseptic Technologies

- Developed the filling line (CVFL or closed vial filling line) able to process the closed vial. Three formats available from 600 up to 36,000 vials/hour
- Launched a Validation Master Plan to support approval of the lines and the vials
- Provides key advantages to patients, manufacturing teams and healthcare clients







- Is a ready-to-fill Closed Vial
- •A fill and finish equipment (filling, laser resealing and capping)

Crystal® **Technology**

- Has been fully validated for filling of aseptic drugs
- Offers key advantages:
 - SAFER for the patient
 - EASIER for the manufacturer





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- > Services
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Introduction





Regulatory Issues Driving the Project (1/2)





200,000 infections by contaminated drugs are yearly reported worldwide, representing 1/100,000 injection





Clinical Sepsis and Death in a Newborn Nursery Associated with Contaminated Parenteral **Medications -- Brazil, 1996**

In October 1996, a total of 35 newborn infants died in a 26-bed nursery of a 200-bed hospital in Roraima, Brazil;

Authorities decided to reduce the use of preservatives in aseptic parenteral drugs



U.S. Food and Drug Administration



As a precautionary measure, the Public Health Service (FDA, NIH, CDC, HRSA) and the American Academy of Pediatrics issued two Joint Statements, urging vaccine manufacturers to reduce or eliminate thimerosal in vaccines as soon as possible (CDC 1999) and (CDC 2000).



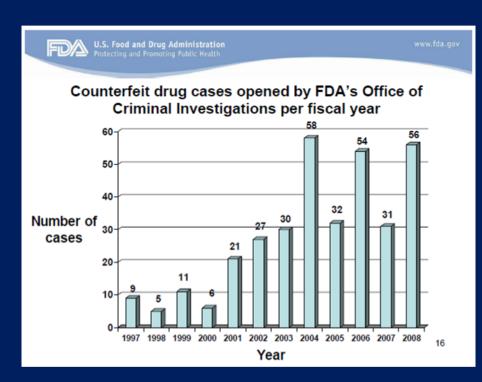


Regulatory Issues Driving the Project (2/2)





New requirements on traceability and anti-counterfeiting of vials



Source: U.S. Food and Drug Administration USPHS Scientific and Training Symposium, June 2 2009 **Examples of companies facing** counterfeited injectables (FDA report)

Serono: Serostim

Genentech: Nutropin

Amgen: Neupogen

■ Amgen: Epogen → Tim Fagan's law

Counterfeiting has dramatic effect: 2,500 people died in Nigeria when vaccinated with fake vaccines during meningitis **epidemic**

WHO data on counterfeiting:

- 32 USD Bio loss
- 10% of worldwide drugs
- Up to 50% in some countries



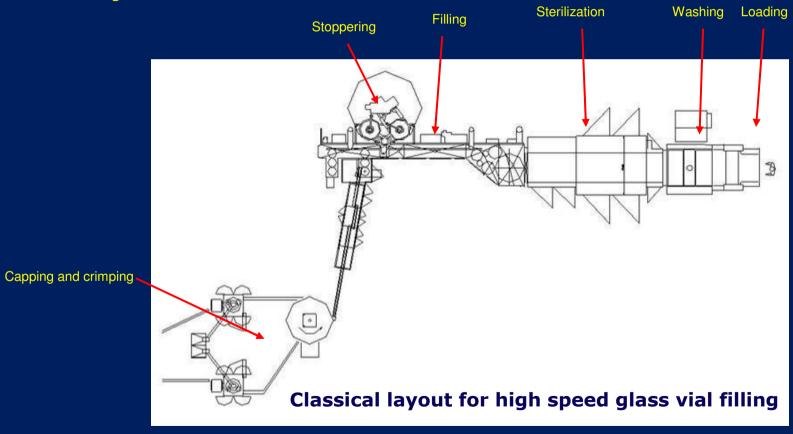


Manufacturing issue driving the project



Manufacturing and QA/QC issues

- Huge investment costs
- Long delivery delays
- Extensive QA/QC validation work and follow up
- Complexity of production
- Risk on batch rejection due to deviations









Description

CLOSED VIALS

- •Produced with the closing stopper installed (in ISO5 room) and secured;
- Sterilized through gamma irradiation before delivery to users.



CLOSED VIAL FILLING LINES

- •Filling is performed by special needle piercing the stopper;
- •The stopper is immediately re-sealed by laser;
- Capping done in the barrier, according to new authority expectations.



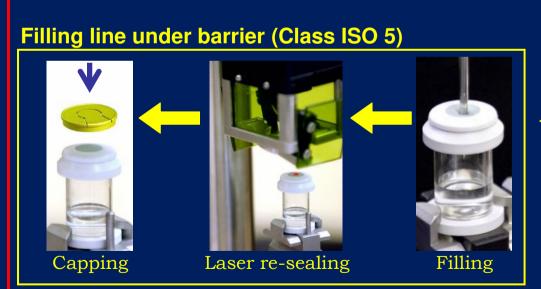
First ready-to-fill closed vial which minimizes the risk of contamination



New Concept of *Crystal*[®] | Process on 3 sites







PHARMACEUTICAL SITE

Clean & Sterile ready-to-fill vial







Company





Aseptic Technologies





- Launched in June 2002 to develop the Closed Vial Technology
- Spin-out and affiliate of GlaxoSmithKline Biologicals
- Collaboration with several technical partners:
 - Technord: automation
 - IBA: mini e-beam
 - Lasea and Osysris: laser technology
 - Sirris: material studies
 - Rexam: vial manufacturing



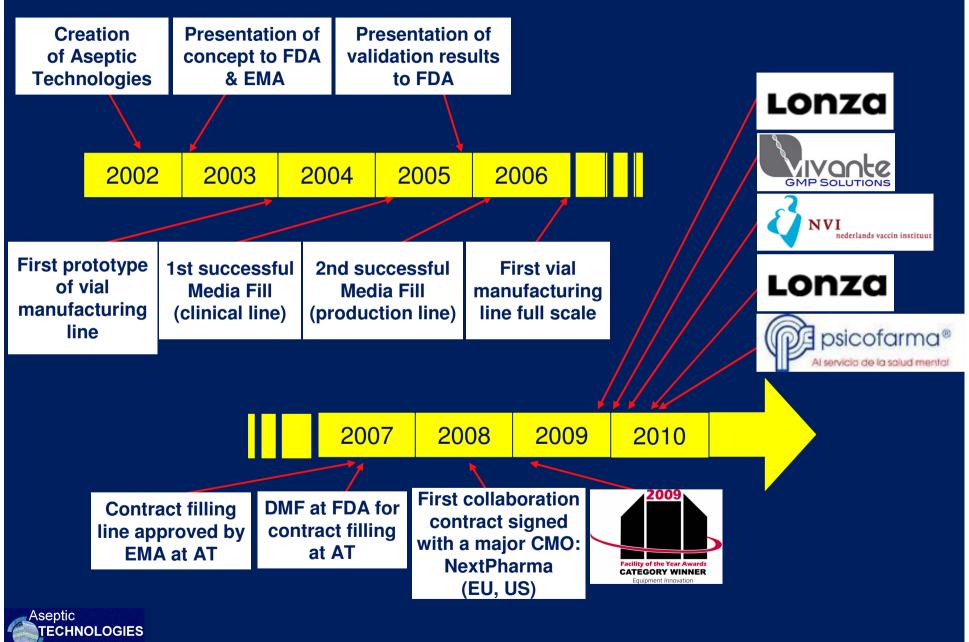
• Core technology licensed by Medical Instill Technologies Inc.



The History of Aseptic Technologies









Vials





The *Crystal®* Closed Vial Technology





Key advantages

Body made of COC and stopper of thermoplastic elastomer:

- Hydrophobic material to prevent electrostatic interaction with soluble proteins
- Unbreakable vial
- Glass-like transparency
- Specially designed stopper minimizing loss of residual volume
- Bio-compatible
- FDA approved material
- Ability to reduce oxygen concentration to 0.1%

Crystal® Vial is particularly suitable for:

- Products sensitive to alkali and/or metal ions
- Toxic products





Crystal® Closed Vial Manufacturing | Video





See http://www.aseptictech.com for videos



Vial Description







Flip away cap Ensures sterility assurance level of the

piercing area

Top ring Ensures closure integrity

Stopper Made of Thermoplastic Elastomer (TPE)

Allows laser resealing after filling

Vial body Made of Cyclo-olefin co-polymer (COC)

Contains volume from 0.2 to 50ml

Bottom ring Ensures mechanical stability

Crystal[®] vial is closed in a class ISO5 room before being sterilized by gamma irradiation









From 1ml to 50 ml

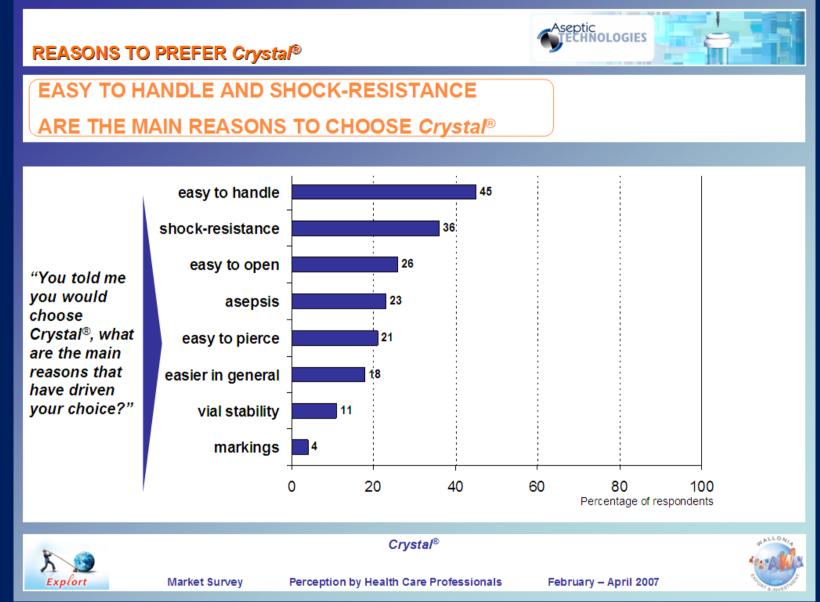




End-users Perception











Filling Lines



Four formats available





Manual line (Crystal® M1)

- Independent tools for manual operations
- To be installed in existing containment
- Designed to fill very small batches (<1,000 vials) or for research purposes



Laboratory line (Crystal® L1)

- Designed to fill batches of max. 5,000 vials
- Capacity: about 10 vials/min
- Space needed: ~8 m² (footprint: 2 m²)



Clinical line (Crystal® C2 or C3)

- Designed to fill clinical batches and small commercial batches
- Capacity: up to 75 vials/min
- Space needed: 40 m² (footprint: 9 m²)



Production line (Crystal® P6 to P24)

- Designed to fill large commercial batches
- Capacity: up to 600 vials/min
- Space needed: 100 m² (footprint: 44 m²)
- Capacity increase by adding filling heads and lasers







The *Crystal®* Closed Vial Technology





Key advantages

The Filling process

The Crystal® closed vial enables to:

- Eliminate most complex steps of aseptic filling
 - no WFI washing
 - no hot-air tunnel
 - no siliconization
 - no stoppering
- Limit risk of batch rejection thanks to process simplification
- Secure potent and high-value products

Crystal® Technology drastically simplifies aseptic filling operations





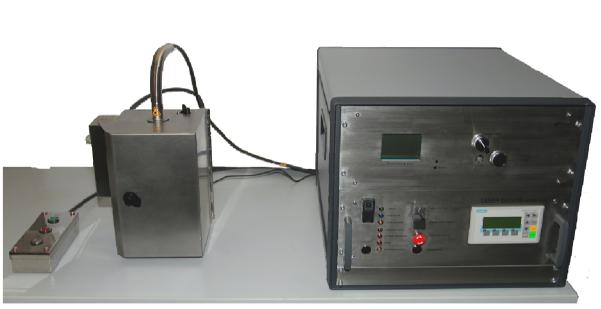




Manual kit M1

Delivered to Lonza (MD, USA)







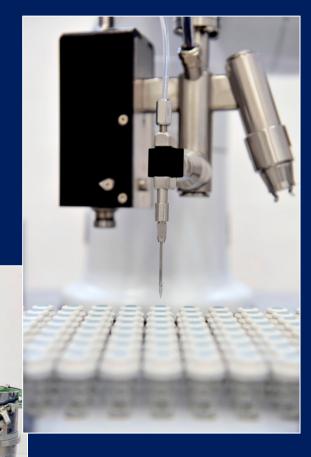




Laboratory line L1

At Aseptic Technologies for trial testing, 10 vials/minute







See http://www.aseptictech.com for videos



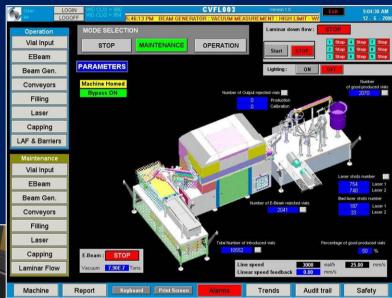




Clinical line C2

Delivered to a US CMO, 50 vials/minute











Production line P24

To be delivered to GSK Biologicals, 600 vials/minute









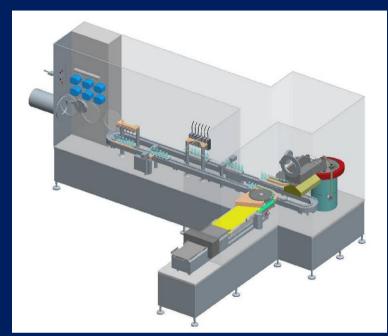


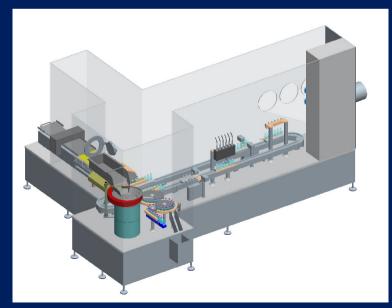
New equipment under development





- ✓ Multi-purpose filling platform, suitable for liquid and freeze dried products
- ✓ Able to handle various categories of products:
 - aseptic
 - aseptic toxic
 - aseptic bio-hazard
- ✓ Capacity from 50 to 150 vials / min (3.000 to 9.000 vials / h)
- ✓ Multi-format by design
- ✓ Ready for different containments:
 - clean room arrangement
 - CVFS for Class ISO8 clean room
 - Isolator for toxics









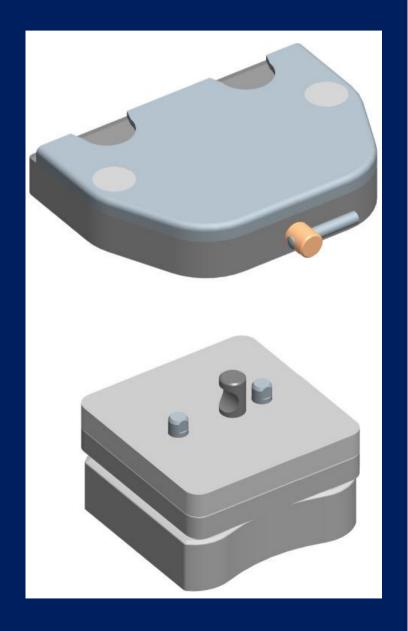
Crystal® PX Filling Line | Conveying of the Closed Vial



Conveying by independent wagons (magnetic conveyor)

- Easy, tool-less format change (top piece removal with back handle);
- Easy to clean and sanitize.







Crystal® PX Filling Line | Filling wing

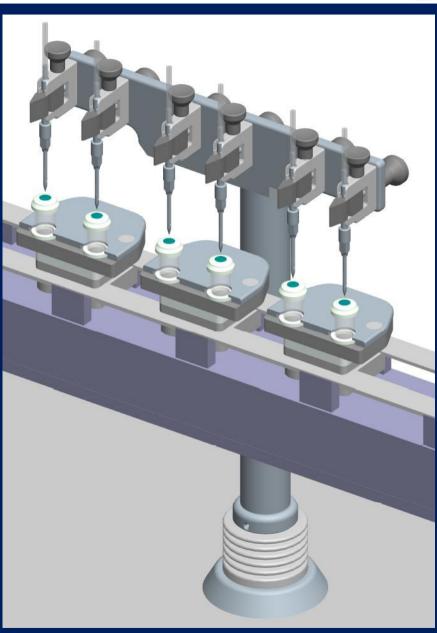




- Easy needle installation;
- Minimum hole size without coring;
- Low particle generation.







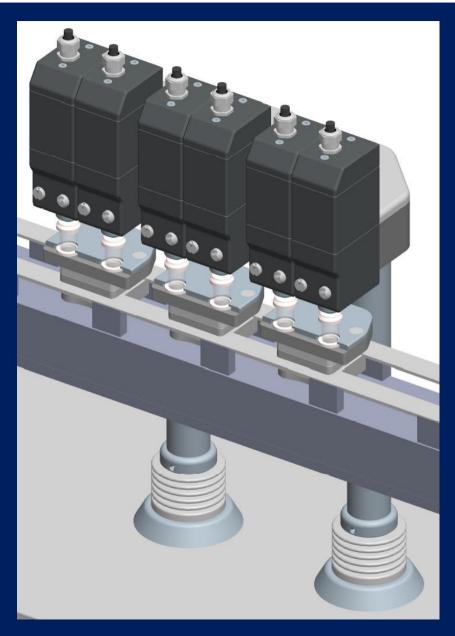
Crystal® PX Filling Line | Laser wing





- Laser wing;
- 2 laser heads per carrier.





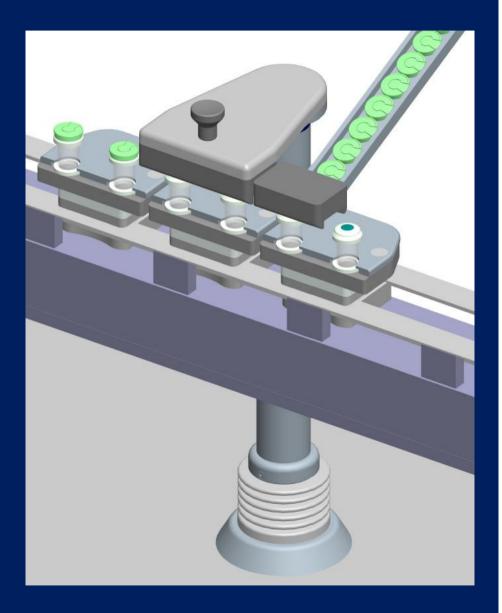


Crystal® PX Filling Line | Capping



• Flip away cap installed by snap fit.





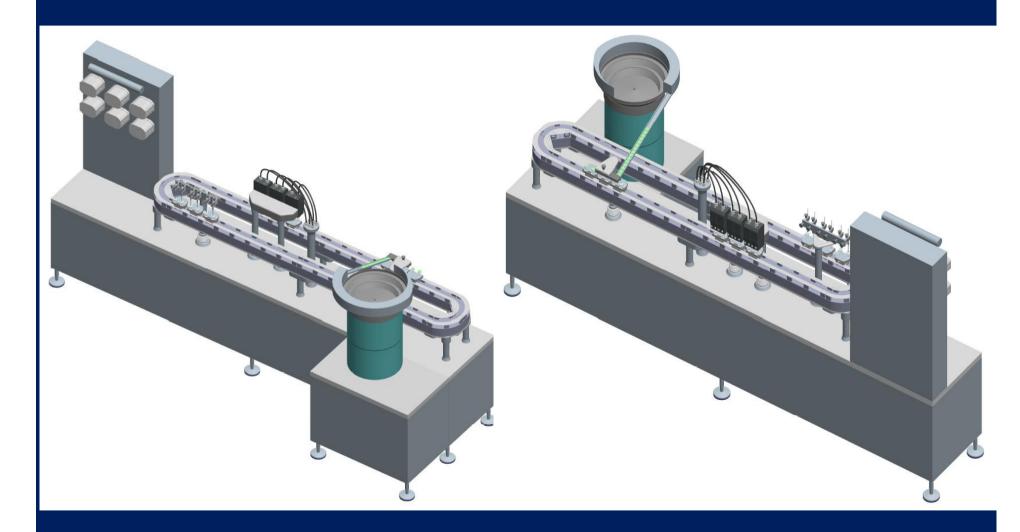








Example of 3D view of the main process part





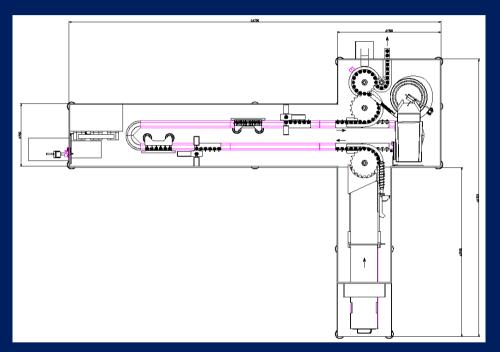


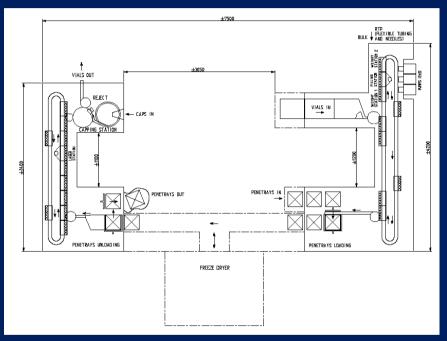
New equipment under development





Different configurations





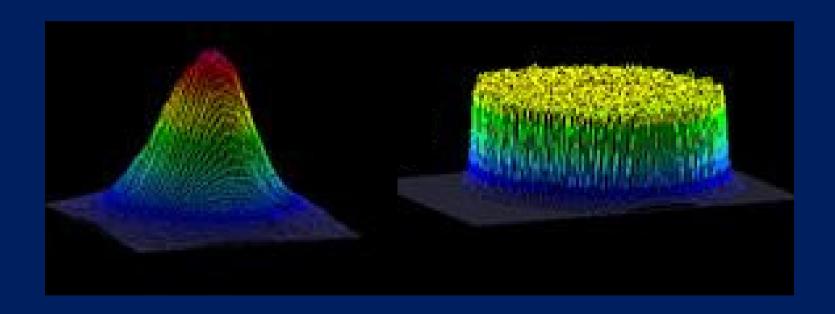
Aseptic liquid

Aseptic freeze-dried





The Validation Master Plan



Validation Master Plan





TOPICS	VALIDATION STUDIES	<u>STATUS</u>
Container materials	 USP and EP tests (class VI, biological and physicochemical, olefin, elastomeric closure) 	Pass
Assembled vial	■ Particle test	Pass
	Container closure integrity (vial and cap)	Pass
	Endotoxin contamination and bioburden	Pass
	Permeability	Done
	Extractable	Done
	 Aging in ICH condition 	On-going
	 Low temperature resistance 	Pass
	Gamma irradiation	Pass
■ Filling line	■ E-beam	Pass
	 Laser 	Pass
	• Filling accuracy	Pass
Simulation	■ Media fill in unclassified area	Pass

All data are available to support approval of your products



Media fill Simulation Results





- Media fill performed in unclassified workshop;
- TSB transferred inside the filling area through a circulation loop with two connectors;
- All operation performed as it would be in a clean room

Currently over 100,000 vials filled without contamination







Leachables in WFI

RANGE	T= 3 MONTHS	T= 6 MONTHS	T = 12 MONTHS
Concentration ≥ 10 ppm	none	none	Acetic acid
From 5 to 10 ppm	Acetic acid	Acetic acid, Formic acid	Formic acid
From 1 to 5 ppm	Formic acid, t-butanol	t-butanol	t-butanol
Less than 1 ppm	Very few products. No concern with toxicity and carcigenocity	Very few products. No concern with toxicity and carcigenocity	Very few products. No concern with toxicity and carcigenocity

Very few leachables.

No toxicity concern, according to FDA recommended expert

Moreover, Crystal[®] vials are - latex free - silicone free

Similar results obtained in ethanol 10%, PBS, NaCl 0.9% and 2-phenoxyethanol 0.5%





The Freeze Drying





Freeze Drying in *Crystal®* Closed Vials

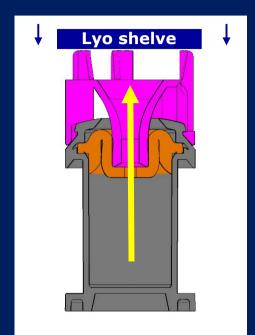




Process

- 1. Normal vial filled but not laser re-sealed;
- 2. Penetrator device (funnel shape) placed in line on vial top;
- 3. Lyo shelve moved down to push penetrator device and re-open piercing trace;
- 4. Lyophilization done through piercing trace;

5. Penetrator withdrawn and vial laser resealed and capped.











Tests performed

Lactose 5 % : ✓

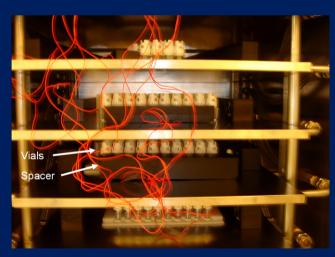
Lactose 10 %: ✓

Sucrose 5 % : ✓

Sucrose 10%: ✓

Three viruses: ✓

- Lyophilization done with the same cycle as for glass vials
- Very high quality of cakes
- No stopper sticking on shelves
- Better vial stability during loading/unloading
- No vial breakage









Services







To facilitate your evaluation of the Crystal® Closed Vial technology, three options are offered:

- Filling in your own facility;
- Aseptic filling in our facility in a non-classified environment;
- Aseptic filling in our facility in our GMP approved filling suite.

Currently, 60+ products tested in the *Crystal®* Closed Vials, including:

- 41 biological products (vaccines, antibodies, proteins, cells, viruses, mRNA);
- 7 cytotoxics;
- 5 lyophilized products.

From 31 different companies.



Contract Filling







Crystal[®] C1 filling line in Class ISO 8 clean room in our facility, GMP approved filling suite for stability batches filling







Aseptic Technologies | Location







- Head-quarter in Belgium, Gembloux, 40 km in the south of Brussels, capital of Europe.
- Central location, between France, Germany, the Netherlands and UK.
- Easy access by plane, train and road.

- GMP approved Crystal® Filling Suite
- Development lab for filling and freeze drying

4,000 m² facilities (3 levels) including 400 m² of clean room



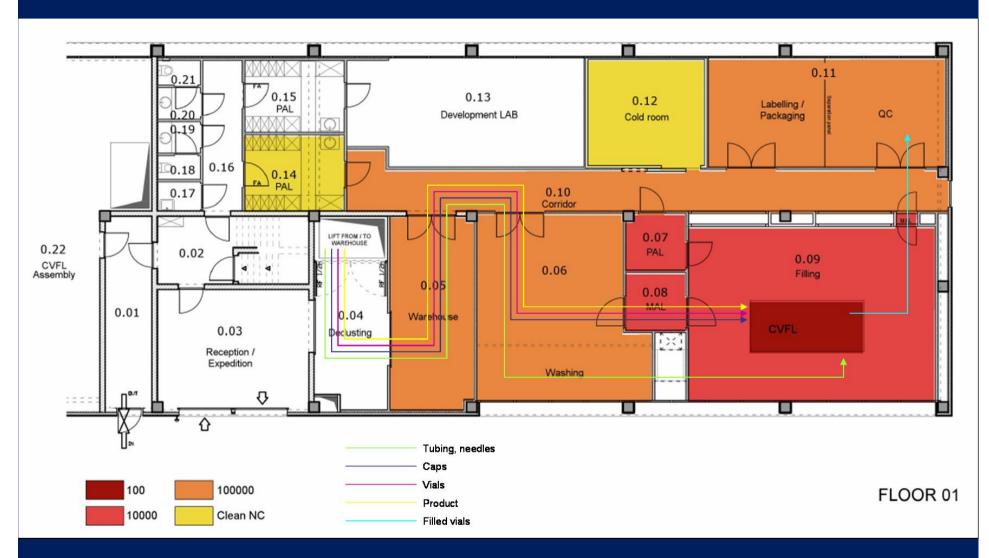








Material path







Cost of installation of our facility





Clinical line Mio EUR

Sources of investment	Total cost	
• Building including HVAC	1.82	
• Filling line (complete line, including IQ/OQ)	3.06	
• WFI generation system	0.03	
Double door autoclave	0.10	
• Various equipment	0.18	

• Total	5.19
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• Timing:

-Start from greenfield

-Inspection by EMEA

March 05 June 06







From Equipment Design to After Sale Service



Providing SAFER & EASIER Aseptic Filling operations to the BioPharmaceutical Industry





RISE WITH US

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